



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Initial Patent Application of: Kwon HONG, et al.

Serial No.: 09/888,060

Group Art Unit: 2825

Filed: June 25, 2001

Examiner: Anya, Igwe U.

Title: SEMICONDUCTOR MEMORY DEVICE HAVING PLUG CONTACTED TO CAPACITOR ELECTRODE AND METHOD FOR FABRICATING THE SAME

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INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner for Patents
Washington, DC 20231

November 5, 2002

Sir:

As a means of complying with the duty of disclosure under 37 CFR §1.56, and in accordance with 37 CFR §§1.97 and 1.98, Applicant(s), through the undersigned attorney, submits this Information Disclosure Statement. The patents, publications or other information submitted herewith are listed on the attached Form PTO-1449 and copies are attached.

In accordance with 37 CFR §1.97(c), this Information Disclosure Statement is being filed after the period set forth in 37 CFR §1.97(b), but before the mailing date of either a Final Action under 37 CFR §1.113 or a Notice of Allowance under 37 CFR §1.311.

The patents and publications listed on Form PTO-1449 were cited in a ROC (Taiwan) Search Report mailed not more than three months ago in a counterpart ROC (Taiwan) patent application. A

copy of the ROC (Taiwan) Search Report is enclosed, together with an English translation of the Search Report.

Certification in accordance with 37 CFR § 1.97(e) is included herein. Accordingly, it is respectfully submitted that no fee is required by the filing of this Information Disclosure Statement. Should any fee be required, please charge such fee to Deposit Account No. 06-1358. A duplicate copy of this paper is enclosed.

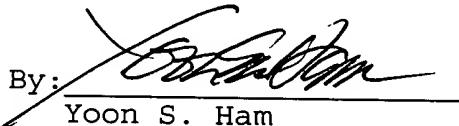
CERTIFICATION

It is hereby certified that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application no more than three months prior to the filing of this statement.

Respectfully submitted,

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Enclosures
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